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APPLICATION NUMBER	FILING OR 371(c) DATE	FIRST NAMED APPLICANT	ATTY. DOCKET NO./TITLE
10/666,997	09/18/2003	Carol Carter	5398-017-27 CONT

Supervisor, Patent Prosecution Services  
 PIPER RUDNICK LLP  
 1200 Nineteenth Street, N.W.  
 Washington, DC 20036-2412



CONFIRMATION NO. 6642



\*OC000000014133563\*

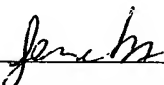
Date Mailed: 10/20/2004

**RESPONSE TO REQUEST FOR CORRECTED FILING RECEIPT****Claims, Fees, and Inventors**

In response to your request for a corrected Filing Receipt, the Office is unable to comply with the request because:

- ☐ The total number of claims appearing on the Filing Receipt does not include multiple dependent claims. The total fee appearing on the Filing Receipt includes the cost of multiple dependent claims that were present at the time the application was filed.
- ☐ The filing fee is correct. It may include additional claims fees and/or the surcharge under 37 CFR 1.16 (e) for filing an oath/declaration or basic filing fee after the application filing date; or it may not reflect fees refunded to the applicant that were paid by mistake.
- ☐ The number of claims reflected on the filing receipt is correct. Upon review of the claims, it was found that there was a miscalculation by the applicant. This may be due to improperly presented multiple dependent claims; typographical error, misnumbering of the claims, or other oversight. An amendment may be necessary to correct the problem.
- ☐ The filing fee reflected on the filing receipt is correct. Applicant may have miscalculated the fees due.
- ☐ Applicant calculated fees as other than small entity; however, applicant asserted small entity status in the application. Therefore, fees were applied as small entity and the remainder was refunded to the applicant.
- ☐ The difference between the fees paid and the fees due was refunded to the applicant and will not be shown on the filing receipt.
- ☐ The inventor information may be truncated if the family name consists of more than 50 characters (letters and spaces combined) and if the given name consists of more than 50 characters (letters and spaces combined).

- ☐ The inventor's residence allows for up to 40 characters (letters and spaces combined).
- ☐ The inventor's residence will only include the city and state for U.S. residences or city and country for residences outside the U.S. (See MPEP 605.02).
- ☐ A petition to correct the inventorship is needed to make this change. See 37 CFR 1.48. For non-provisional applications, the petition should be directed to the Director of the examining group assigned to your application.
- ☐ Changes made after submission of an executed declaration to the inventor information other than correction of typographical errors must be submitted in the form of a substitute declaration. Change of inventorship requires a petition under 37 CFR 1.48.
- ☒ The number of drawings shown on the filing receipt reflects the number of drawing sheets submitted and is not necessarily equal to the number of figures submitted.
- ☐ The correspondence address was captured as directed by applicant on filing. If you wish correspondence to be directed otherwise, please submit a request for a change of address.
- ☐ The docket number allows a maximum of 25 characters.
- ☐ The person signing on behalf of the deceased inventor is reflected on the Filing Receipt as the legal representative.
- ☐ The filing date of a parent application cannot be changed by this request. A petition to correct the filing date in the parent application is required.

  
\_\_\_\_\_  
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Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY



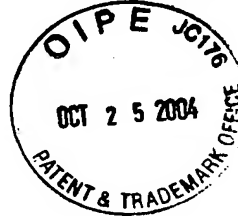
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APPL NO.	FILING OR 371 (c) DATE	ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
10/666,997	09/18/2003	1648	2420	5398-017-27 CONT	12	73	19

Supervisor, Patent Prosecution Services  
 PIPER RUDNICK LLP  
 1200 Nineteenth Street, N.W.  
 Washington, DC 20036-2412



CONFIRMATION NO. 6642

CORRECTED FILING RECEIPT ~~83~~ S.C.

\*OC000000014133534\*

Date Mailed: 10/20/2004

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

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PIPER RUDNICK LLP

OCT 21 2004

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## Domestic Priority data as claimed by applicant

This application is a CON of PCT/US02/15965 05/21/2002  
 which claims benefit of 60/292,761 05/21/2001

## Foreign Applications

If Required, Foreign Filing License Granted: 03/23/2004

The country code and number of your priority application, to be used for filing abroad under the Paris Convention, is **US10/666,997**

**Projected Publication Date:** 12/30/2004

**Non-Publication Request:** No

**Early Publication Request:** No

**\*\* SMALL ENTITY \*\***

**Title**

TSG101 as inhibitor of HIV production

**Preliminary Class**

435

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**LICENSE FOR FOREIGN FILING UNDER  
Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

**GRANTED**

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# COPY



Docket No.: 5398-017-27 CONT

PATENT/DC  
By: SBK/MY/dlb

PIPER RUDNICK No.: 311398-54

Serial No.: 10/666,997

In the Matter of the Application of: Carol CARTER, et al.

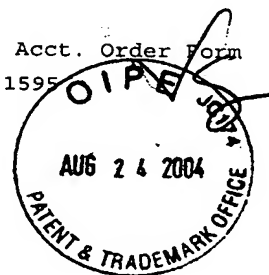
For: TSG101 AS INHIBITOR OF HIV PRODUCTION

The following has been received in the U.S. Patent Office on the date stamped hereon:

- ☐ \_\_\_\_\_ pp. Specification & \_\_\_\_\_ Claims/Drawings \_\_\_\_\_ Sheets
- ☒ Combined Declaration, Petition & Power of Atty. (4 Pages/Executed)
- ☐ Utility Patent Application Transmittal
- ☐ Continued Prosecution Application
- ☐ Request for Continued Examination (RCE)
- ☐ List of Inventors' Names and Addresses
- ☒ Fee Transmittal
- ☒ Check for \$ 583.00
- ☐ Assignment \_\_\_\_\_ pages (executed)
- ☐ Request for Priority
- ☐ Priority Doc. ( )
- ☐ White Advance Serial Number Postcard
- ☒ Supplemental Preliminary Amendment
- ☐ Letter to Official Draftsman
- ☒ Filing of Declaration and Formal Drawings
- ☒ Drawings 13 sheets
- ☒ Letter (Transmittal)
- ☒ Notice to File Missing Parts of Nonprovisional Application (Return Copy)
- ☒ Request for Extension of Time (3 months)
- ☐ Information Disclosure Statement
- ☐ List of Related Cases
- ☐ Cited Documents ( )
- ☐ \_\_\_\_\_ Search Report
- ☐ Statement of Relevancy
- ☐ Restriction Response
- ☐ Notice of Appeal
- ☐ Appeal Brief
- ☐ Rule 132 Declaration
- ☐ Issue Fee Transmittal
- ☒ List of 10 Practitioners to be Recognized by the Office as Being of Record

☐ Dep. Acct. Order Form

☐ PTO-1595



☐ PTO-1449

☐ Election Response

Rev. 04/04

DUE DATE: August 24, 2004

*dfm*